

# Lessons from the Implementation of the Healthcare Delivery Performance Index to Measure Quality of Primary Care in Costa Rica

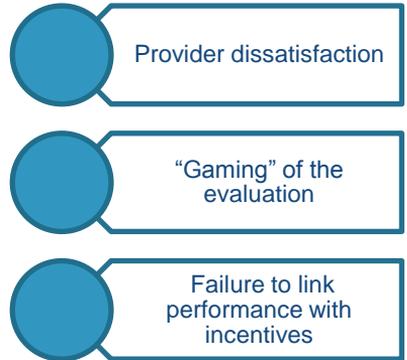
## CONTEXT:

- 1994 - Costa Rica reformed primary health care system
- Primary care provided by Health Areas, which each supervise 5-20 primary care clinics
- The Social Security Administration (CCSS) runs all public hospitals and clinics and covers 95% of the population
- Over the past 25 years, the CCSS developed novel ways to measure primary



## THE PROBLEM:

- 1997- 2007 – the CCSS used Management Contracts to measure quality and assign financial incentives
- In 2009, an internal review revealed dissatisfaction and signaled the need for changes in evaluation
- Evaluation was measured health services and not population health



## DEVELOPMENT OF A SOLUTION:



- Financial incentives eliminated – how to maintain interest in evaluation?
- Literature from the NHS, the WHO, and OECD was reviewed
- New way to rank Health Areas: the Healthcare Delivery Performance Index
- Bottom 20% of the areas must make remediation plan

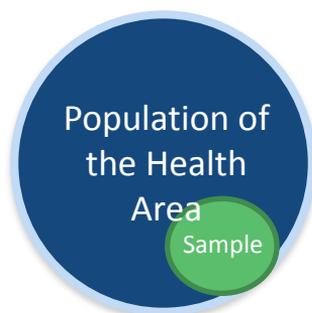
## THE INDEX:

- Goal to create an index that measures these five dimensions and is comparable between different primary care Health Areas
- Goal for this index to be a tool in health care management
- Defined 15 indicators based on the CCSS treatment guidelines

Chronic Care indicators	Maternal Health Indicators	Child Health Indicators	General Indicators
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% of type 2 diabetics with HbA1c control	% of women seen in early post natal period	% of anemic children from 6 months to 2 years fully treated	

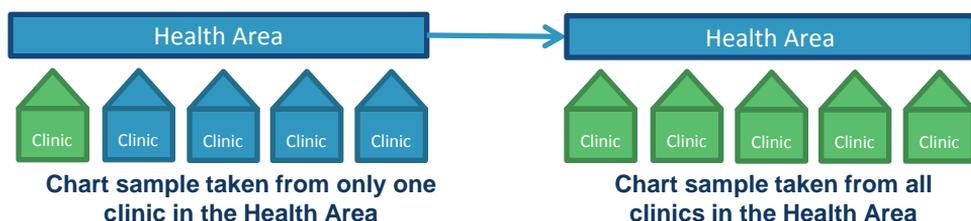
## EVALUATION STRATEGY:

- For each indicator, the Health Area submits a list of corresponding patients
- Based on that list, a sample of 20-40 patient charts is randomly selected
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## SAMPLING STRATEGY:

- At first, charts in the sample were only taken from one or two clinics in the Health Area
- Changed to include all clinics, as health area directors felt the evaluation was not representative of whole Health Area



## STATISTICAL EVALUATION:

- **Must combine score from each indicator to make overall score for the index, but over time, the statistical model used has changed**

### Simple Weighted Average

$$(1X + 2Y + 3Z) / W = \text{Index Score}$$

- **Difficulties with this design:**

- **Performance on one indicator could compensate for others**
- **Assignment of weights was subjective**

X = % of charts reviewed that achieved quality standard on indicator #1  
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### Factor Analysis Model

$$\text{Year 1: } 4X + 1Y + 1Z = \text{Index Score}$$

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- **Difficulties with this design:**

- **Weighting changed each year, difficult to compare**
- **Statistical model behind the analysis opaque**

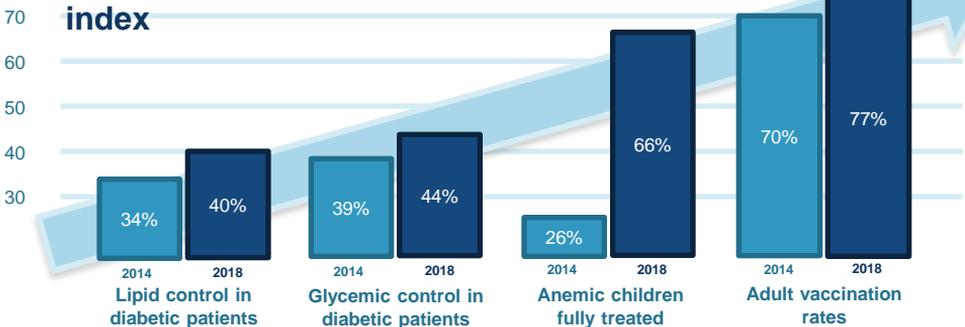
### Simple Average + Goal Achievement

$$(X+Y+Z) * (\% \text{ indicators at goal}) = \text{Index Score}$$

- **Implemented this year for the 2018 evaluation**
- **Potential benefits to this design:**
  - **Incentive to achieve goals for all indicators**
  - **Transparent analytical model more easily understood**

## RESULTS:

- **Since the index was created, improvements have been shown across adults and children on nearly every indicator in the index**

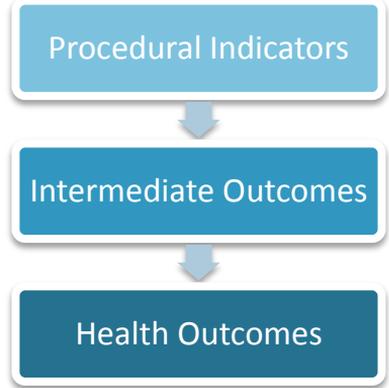


## TECHNICAL LESSONS LEARNED:

- Experience with the index has yielded valuable insights into the construction of quality indices

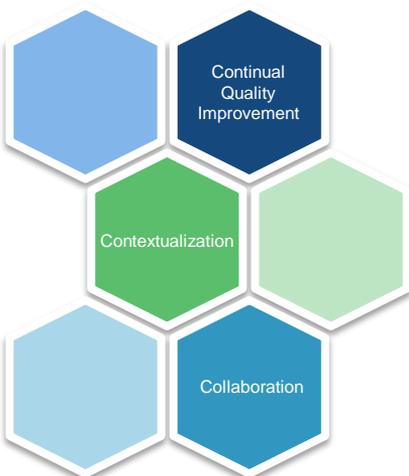
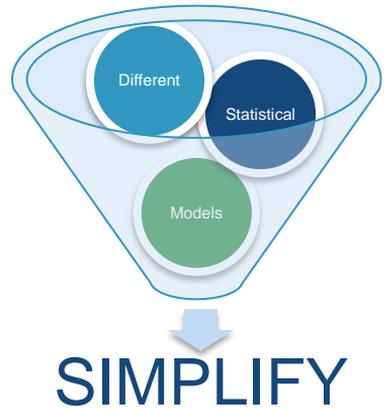
### Selection of Indicators

- Outcome > procedural
  - Must link performance on the index to high quality care
- Adaptation with trends in international quality measurement
- Should select indicators that match and promote your values



### Complexity of the Index Calculations

- Pursuing high-end statistical models that are very complex may limit their utility
- Must balance desire to thwart “gaming” with ease of use
- For index to drive change, Health Area managers should:
  - Understand the evaluation
  - Believe in the index



### Interpretation of the Index

- Define the index’s role early
  - Evaluation & assurance
  - Tool for continual quality improvement
- Contextualize performance within the social context and available resources of the Health Area
- Should be collaborative and used to build an alliance with Health Areas, not punitive

## OVERALL LESSONS LEARNED:

- Beyond the technical details, Costa Rica learned valuable lessons about quality improvement overall



### Flexibility

- Frameworks can be adapted – continual tweaks and improvements are essential
- If the system doesn't work, search for a better way to evaluate
- No model is perfect, but having a framework for evaluating quality is important

### Interpersonal incentives are effective

- Financial incentives are not always necessary to make quality improvements
- Interpersonal incentives can be motivating
  - Substantial improvements can be made by demonstrating clinics' performance against one another
- The index helped to maintain the interest of Health Area directors, after financial incentives were removed

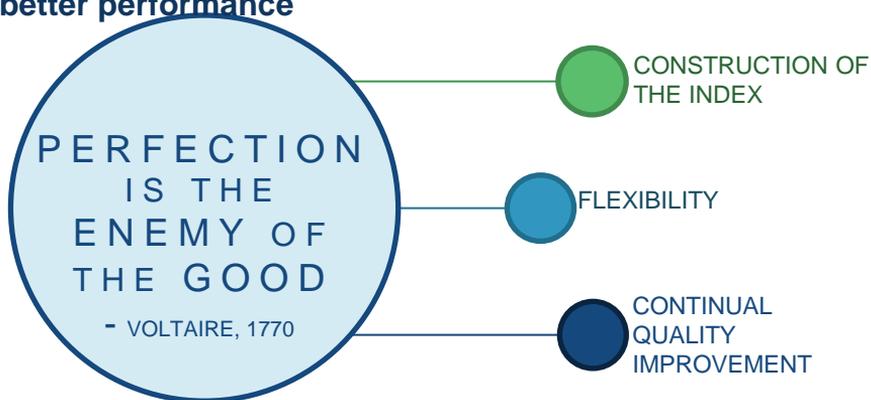


### Improvements in data systems

- The use of the data system will improve the quality of the data itself
- Can't wait until the data is perfect – as scientists we always want sources of data to be perfect before we begin
- When the data system is used, more effort is put into the maintenance of those systems

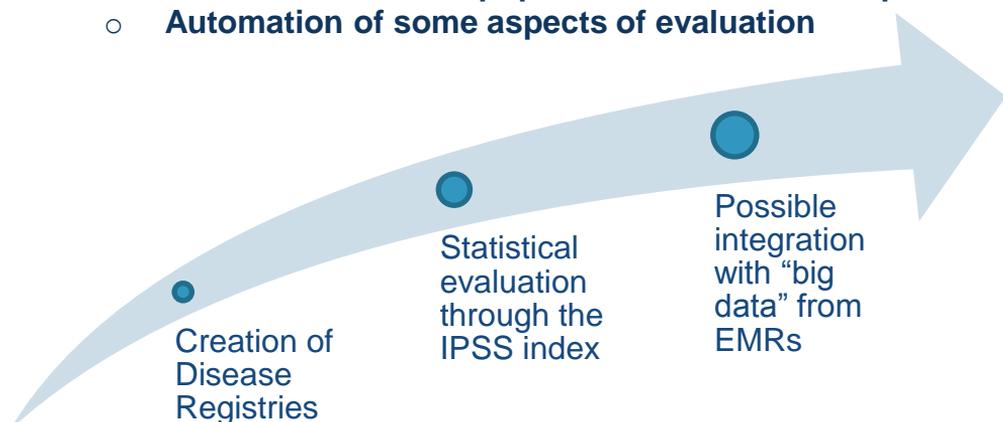
## CONCLUSIONS:

- The experience of the performance index solidified the importance of continual quality improvement in primary care
- The index has promoted a change in the culture of the CCSS
  - Changed from an evaluation of services rendered to a tool to improve performance and promote population health
  - Cultivating a culture of continual improvement is important, difficult, and possible
- Existence of a quality index, even if imperfect, pushes toward better performance



## FUTURE DIRECTIONS:

- As international norms of quality improvement continue to evolve, Costa Rica's quality evaluation must evolve alongside it
- Recent introduction of a new universal digital health record provides opportunities:
  - Integration with "big data"
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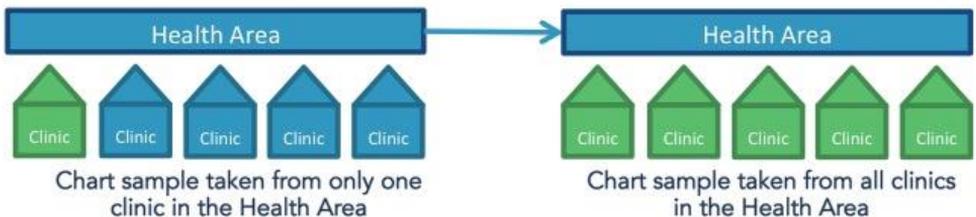
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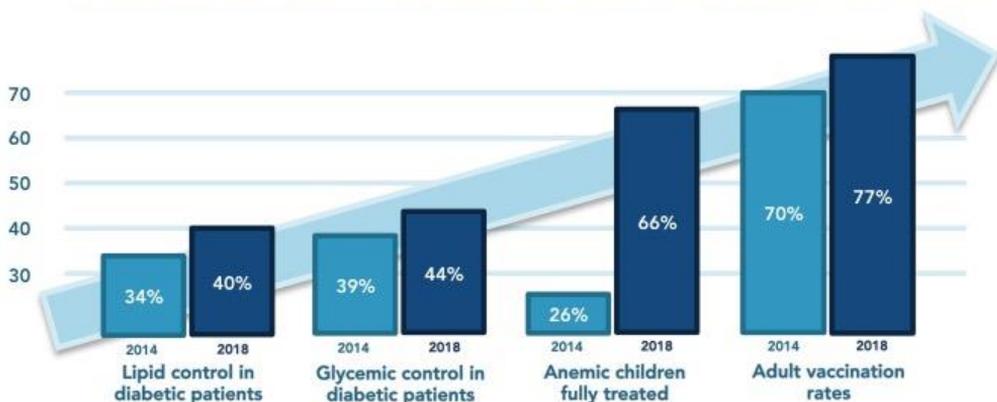
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## RESULTS:

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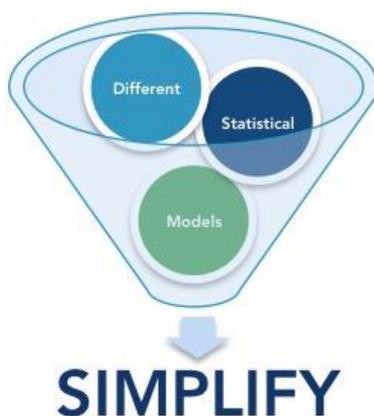
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### Complexity of the Index Calculations

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### Interpretation of the Index

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## OVERALL LESSONS LEARNED:

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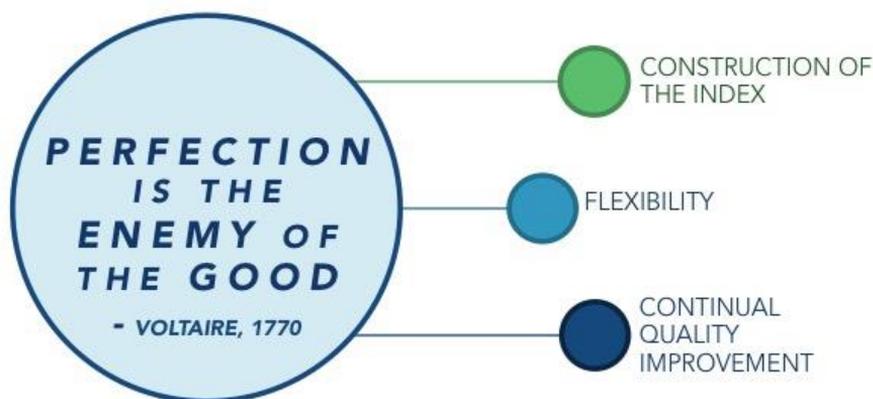


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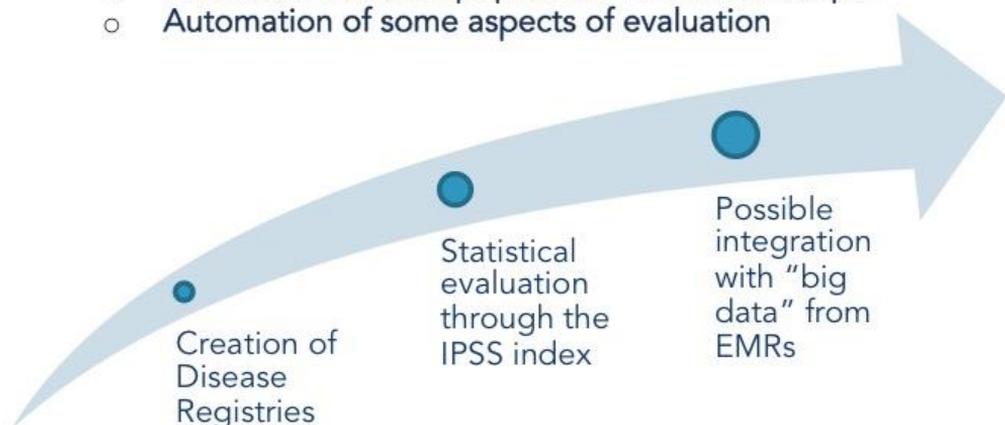
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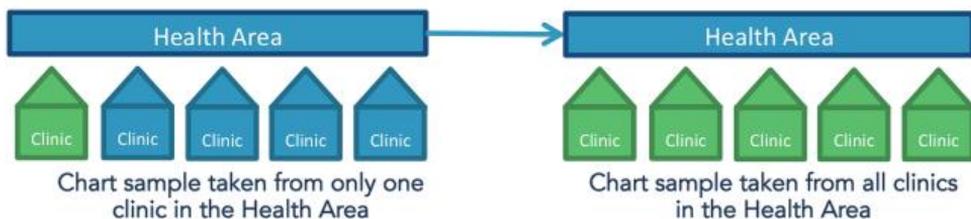
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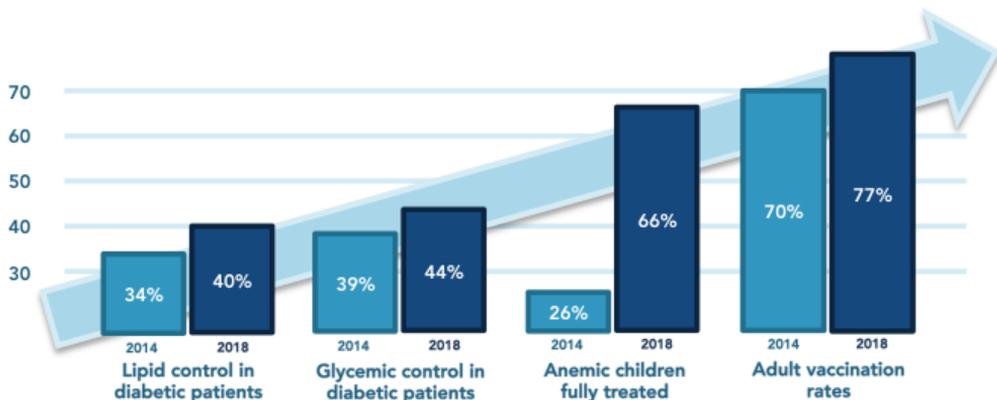
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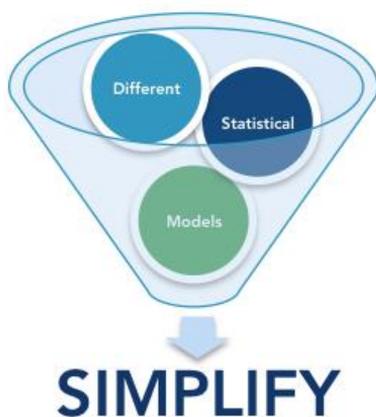
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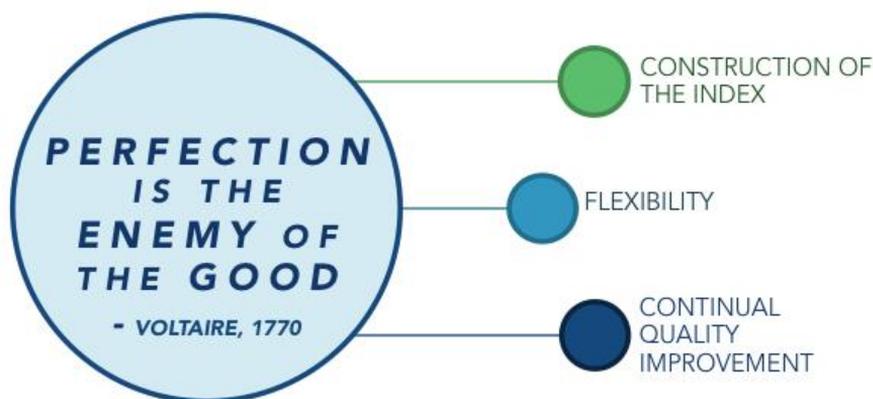


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Creation of  
Disease  
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Statistical  
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through the  
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Possible  
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