

# Brainstem cavernomas: a surgical challenge

## Cavernomas de tronco: desafio cirúrgico

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### ABSTRACT

**Objective:** The authors show their experience with brainstem cavernomas, comparing their data with the ones of a literature review.

**Methods:** From 1998 to 2009, 13 patients harboring brainstem cavernomas underwent surgical resection. All plain films, medical records and images were reviewed in order to sample the most important data regarding epidemiology, clinical picture, radiological findings and surgical outcomes, as well as main complications.

**Results:** The mean age was 42.4 years (ranging from 19 to 70). No predominant gender: male-to-female ratio, 6:7. Pontine cases were more frequent. Magnetic resonance imaging was used as the imaging method to diagnose cavernomas in all cases. The mean follow-up was 71.3 months (range of 1 to 138 months). Clinical presentation was a single cranial nerve deficit, VIII paresis, tinnitus and hearing loss (69.2%). All 13 patients underwent resection of the symptomatic brainstem cavernoma. Complete removal was accomplished in 11 patients. Morbidity and mortality were 15.3 and 7.6%, respectively.

**Conclusions:** Cavernomas can be resected safely with optimal surgical approach (feasible entry zone) and microsurgical techniques, and the goal is to remove all lesions with no cranial nerves impairment.

**Keywords:** Brain stem/abnormalities; Brain stem/surgery; Brain diseases; Hemangioma, cavernous

### RESUMO

**Objetivo:** Os autores mostram sua experiência com cavernomas de tronco cerebral, comparando seus dados com os de uma revisão da literatura. **Métodos:** De 1998 a 2009, 13 pacientes com cavernoma de tronco cerebral foram submetidos a ressecção cirúrgica. Todos os filmes, prontuários e imagens foram revisados para exposição

dos dados mais importantes, como epidemiologia, detalhes clínicos, achados radiológicos e resultados cirúrgicos, bem como as principais complicações. **Resultados:** A média de idade foi de 42,4 anos (variação de 19 a 70). Não houve predominância de gênero na taxa masculino *versus* feminino, 6:7. Os casos pontinos foram os mais frequentes. Ressonância nuclear magnética foi o método de imagem para o diagnóstico de cavernomas em todos os casos. A média do acompanhamento foi de 71,3 meses (variação de 1 a 138 meses). A apresentação clínica mais frequente foi a paresia do VIII nervo craniano, tinnitus e perda auditiva (69,2%). Todos os 13 pacientes com cavernomas de tronco sintomáticos foram submetidos à ressecção cirúrgica. A remoção total foi realizada em 11 pacientes. A morbidade e a mortalidade foram de 15,3 e 7,6%, respectivamente. **Conclusão:** Os cavernomas podem ser seguramente ressecados por meio de acessos cirúrgicos ideais (zonas de entrada seguras) e técnicas de microcirurgia, sendo que o objetivo é remover toda a lesão sem o comprometimento dos nervos cranianos.

**Descritores:** Tronco encefálico/anormalidades; Tronco encefálico/cirurgia; Encefalopatias; Hemangioma cavernoso

### INTRODUCTION

Cavernous malformations (CM) has long been recognized as one of the major pathologic categories of vascular malformations of the nervous system<sup>(1)</sup>. Because no abnormal vascularity is seen on angiography, CM have been included in the description of cryptic or occult vascular malformations<sup>(2)</sup>. The term used is cavernous angioma, but more recently the term “cavernous

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malformations” has become more widely accepted, explicitly distinguishing these lesions from true vascular neoplasms as suggested by the term angioma.

CM of the central nervous system affects 0.4 to 0.9% of the population, and accounts for 8 to 15% of all vascular malformations<sup>(3)</sup>. In the brainstem (medulla, pons, and midbrain), its incidence is estimated to range from 9 to 35%, with a predilection for the pons<sup>(4,5)</sup>.

Before the era of magnetic resonance imaging (MRI), brainstem CM was only diagnosed at autopsy or in surgery<sup>(6)</sup>. The MRI appearance consists of a reticulated core of high and low signal intensities surrounded by a hypointense rim of hemosiderin<sup>(7)</sup>.

Digital subtraction angiography (DSA) is considered an unnecessary tool for diagnosis but it is important in deciding the strategy and approach to surgery (subtemporal, combined petrosal, or interhemispheric)<sup>(8)</sup>.

The differential diagnosis includes a very large number of conditions, such as hemorrhagic neoplasms, inflammatory lesions, and mixed lesions, capillary telangiectasias, and developmental venous anomalies<sup>(2)</sup>.

The clinical symptoms of brainstem CM are related to the site of bleeding. Ocular motility and facial lesions are the most frequent deficits<sup>(6)</sup>.

Patients with asymptomatic brainstem cavernoma have a low risk of neurological events (ranging from 0.25 to 6.5% – mean of 4%)<sup>(5)</sup>. The risks of neurological events with surgery are greater than 10%. Some authors state that surgical treatment of asymptomatic cavernomas has little or no benefit to the patient<sup>(6)</sup>.

The first neurosurgeon to operate a pontine cavernoma was Dandy, in 1928, and the indication for this surgical procedure was a pontine bleeding. Apparently the diagnosis was established only after surgery<sup>(7)</sup>. Presence or absence of bleeding, acuteness, localization, and mass effect dictate the timing of the surgery. Several technologies can be applied to the procedure, such as neuronavigation, and intraoperative ultrasound, in particular, if the lesion is too small and in a specific area<sup>(8)</sup>.

Surgery for supratentorial (and cerebellar) cavernomas in young patients with mild or non-disabling symptoms might be questionable. However, in view of the cumulative risk of bleeding or neurological disability over time, an elective surgical resection might be indicated for some of these individuals. More problematic are the lesions located either within cortical or subcortical eloquent areas and within other functionally important areas, such as basal ganglia and thalamus, or those located within the third ventricle, such as corpus callosum and cingulate gyrus, paraventricular and paratriginous regions, and deep

temporal areas. However, recent publications have demonstrated that lesions within all such locations can also be removed with safety and acceptable morbidity.

Brainstem cavernomas constitute a special entity. The hemorrhage rate of these cavernomas is up to 30 times greater than that of other locations. Due to their anatomy, the hemorrhage is more likely to cause severe neurological deficits. Brainstem cavernomas represent a formidable treatment challenge because of their location within a parenchyma that has critical neurological functions, rendering them much more difficult to remove than those in other locations.

## OBJECTIVE

To present the authors’ experience in brainstem cavernomas surgery, and compare it with literature data.

## METHODS

We retrospectively evaluated our clinical experience from 1998 to 2009. Thirteen patients harboring brainstem cavernomas underwent surgical resection. All plain films, medical records and images were reviewed in order to sample the most important data regarding epidemiology, clinical picture, radiological findings, and surgical outcomes, as well as main complications (Chart 1).

## RESULTS

### Patient characteristics

The average age was 42.4 years (ranging from 19 to 70). No predominant gender: male-to-female ratio, 6:7 (Table 1). In our case series, the location was pontine in ten cases, mesencephalic in two cases, and thalamomesencephalic in one case. MRI was used as the imaging method to diagnose the cavernomas in all cases. The average follow-up was 71.3 months (ranging from 1 to 138 months). The most common clinical presentation was a single cranial nerve deficit. The most frequent main symptoms were VIII paresis — tinnitus and hearing loss (69.2%), followed by VII paresis (30.7%).

### Interventions

All 13 patients underwent resection of the symptomatic brainstem cavernoma. Electrophysiological monitoring, including monitoring of cranial nerves and somatosensory, motor, and brainstem evoked potentials, was only used

**Chart 1.** Clinical and surgical characteristics of patients with brainstem cavernomas

n	Name	Age	Gender	Local	Removal	Approach	Clinical *	Clinical **		Follow-up (months)	Rankin postoperative
1	AA	40	M	Pons	Total	Retrosigmoid	VIII	VIII	-	132	0
2	MN	35	F	Pons	Total	Retrosigmoid	VII	VII	-	138	0
3	RA	19	M	Pons	Total	Retrosigmoid	VII, VIII	VIII	-	120	0
4	FA	38	M	Pons	Total	Retrosigmoid	VI, VII, VIII	VIII	-	96	0
5	GP	46	F	Pons	Total	Retrosigmoid	VII, VIII	VII, VIII	-	98	0
6	TMF	35	M	Pons	Total	Retrosigmoid	VIII	VIII	-	99	0
7	APA	30	M	Pons	Total	Retrosigmoid	VIII	VIII, hemiparesis	-	62	0
8	RS	20	F	Pons	Total	Retrosigmoid	VIII	VIII	-	52	0
9	DF	70	F	Thalamus-mesencephalic	Total	Retrosigmoid	Motor deficit	Hemiparesis	-	53	4
10	CBM	70	F	Pons	Total	Retrosigmoid	VIII, XI	Pseudobulbar sd	Shunt	36	0
11	SR	62	F	Mesencephalus	Total	Infratentorial supracerebellar	III	Hydrocephalus	Shunt	28	5***
12	RT	47	F	Pons	Subtotal	Retrosigmoid	VIII	VIII	-	1	0
13	SC	40	M	Mesencephalus	Partial	Subtemporal	Headache	Any symptoms	-	12	0

\* Jeopardize preoperative procedure; \*\* jeopardize postoperative procedure; \*\*\* death after 6 months.

**Table 1.** Patients, gender and complaints of cavernous malformation

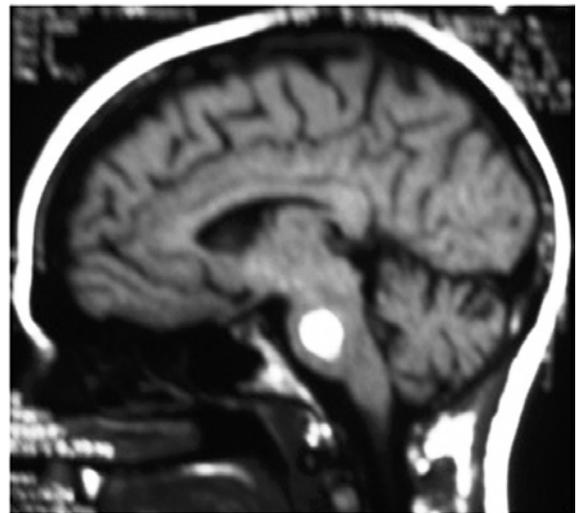
Characteristics	All patients	Midbrain	Pons	Thalamus-midbrain
	n = 13	n = 2	n = 10	n = 1
Sex n (%)				
Male	6 (46.1)	1 (16.7)	5 (83.3)	0
Female	7 (53.9)	1 (14.3)	5 (71.4)	1 (14.3)
Complaints n (%)				
Headache	1 (7.6)	1 (100)	0	0
Cranial nerve damage	12 (92.3)	1 (8.4)	11 (91.6%)	0
Hemiparesis	1 (7.6)	0	0	1 (100)

in one patient. The approach for pontine cavernomas (Figure 1) was the retrosigmoid approach, because most cases were more lateralized, facilitating the preservation of the seventh and eighth cranial nerves.

Complete removal was accomplished in 11 patients, and partial removal in 2. The outcomes were directly related to the location (Figures 2 A-D and 3A-D). Morbidity and mortality rates were 15.3 and 7.6% respectively.

### Outcome predictors

One patient had a poor prognosis. This patient underwent surgery for a CM in the midbrain and had acute hydrocephalus. Pneumonia evolved to sepsis, required percutaneous gastrostomy, and tracheotomy. The patient died 6 months after surgery from a general infection.



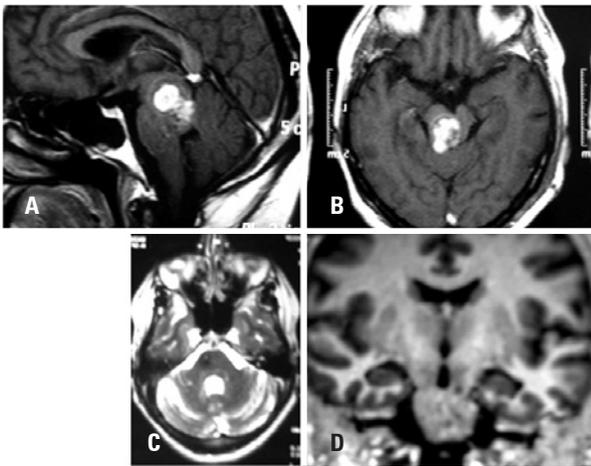
**Figure 1.** A 35-year-old female patient, with impairment of VII cranial nerve submitted to a retrosigmoid approach. For 2 months this impairment worsened, but improved and in fact there were no further complaints

All the other patients recovered well from surgery. At the follow-up examination, 6 months after surgery, all patients presented 100% recovery of cranial nerve impairment.

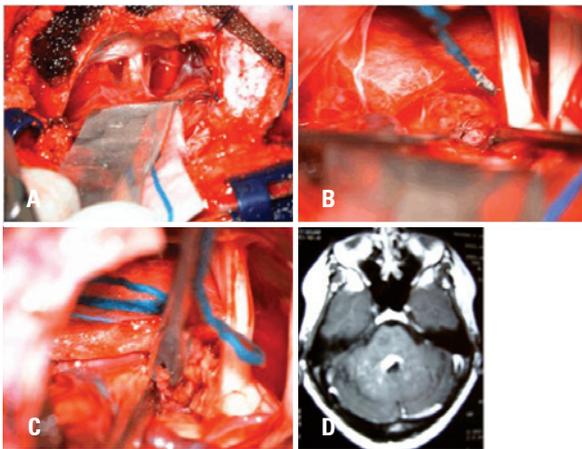
### DISCUSSION

CM of brainstem is one the most challenging surgical procedures.

The pathological features of cavernomas are sinusoidal spaces lined by a single layer of endothelium, separated by a collagenous stroma devoid of elastin and smooth



**Figure 2.** (A) Cavernous malformation on midbrain with III cranial nerve impairment; (B) compression on the aqueduct starting a hydrocephalus; (C) postoperative MRI without the compression of aqueduct; (D) postoperative magnetic resonance imaging. Coronal view



**Figure 3.** (A) Retrosigmoid approach; (B) surgical view of cavernoma in brainstem surface; (C) surgical resection; (D) Postoperative magnetic resonance imaging

muscle, the surrounding parenchyma consistently exhibits evidence of worsened micro-hemorrhage, hemosiderin discoloration, and hemosiderin-filled macrophages, within the lesion<sup>(9,10)</sup>. There may be hyalinization, thrombosis with varying degrees of organization, calcification, cysts, or cholesterol crystals<sup>(11)</sup>.

Cavernomas had been studied for more than 150 years, but only when MRI was introduced as a diagnostic tool, their natural history and/or indication for surgery were truly studied<sup>(12)</sup>. In our case series, MRI was the diagnostic procedure of choice for cavernomas, but for diagnosis, head CT, MRI angiography, and CT were performed on all patients<sup>(13)</sup>. The DSA is unnecessary to establish a diagnosis of CM, but it is very important to show the venous drainage pattern when choosing an approach – subtemporal, interhemispheric or

subtemporal<sup>(8)</sup>. However, MRI angiography could be used for this. The CT scan identified hematoma formation in 48% of cases, calcification in 24%, and edema in 19%<sup>(13)</sup>. CT localized the cavernomas and their position in relation to the skull.

When the mesencephalon is involved, especially when it is located within the depth of the tegment portion, this continues to be a real challenge<sup>(14)</sup>.

Brainstem cavernomas are associated with significant focal impairment caused by hemorrhages leading to facial nerves damage<sup>(15)</sup>. Table 2 shows the clinical manifestation in the majority of series.

Brainstem CM may bleed causing catastrophic neurologic impairment due to their localization, the risk being 0.7% per year per lesion<sup>(24)</sup>. In our series, only two patients had multiple hemorrhage, and these patients had the worst modified Rankin scale (mRS) rate. The female gender had a greater risk of hemorrhage<sup>(5)</sup>. Aiba et al. shows that younger females have a greater risk of bleeding events<sup>(13)</sup>. The recovery from hemorrhage was better described by Fritschi et al.<sup>(14)</sup>. They analyzed 139 patients, 30 of whom were treated clinically, and full recovery was achieved in 43.3% of cases. Minimal disability 23.3%, moderate and severe disability 6.7%, and 20% mortality.

Using the retrosigmoid approach, as a rule, we observe signs of bleeding on the surface of the brainstem, and through this point we begin the dissection and removal of the cavernoma<sup>(8,24)</sup>. Ziyal et al. stated that sometimes there is no evidence of bleeding on the surface, and laterally between the V and VII nerve, the cavernoma can be accessed with a transverse incision<sup>(24)</sup>. Through the lateral mesencephalic sulcus, and the inferior olivary nucleus, the brainstem can be reached without notable neural structure injury<sup>(17,25)</sup>. The indication to treat patients with CM include lesions that reaches a pial or ependymal surface and that may be approached without the need to reach eloquent brainstem tissues<sup>(17)</sup>. Complete microsurgical resection can be performed at a rate of 67% to 93%<sup>(18)</sup>. The overall mortality rate is 10 to 15%<sup>(17)</sup>. We achieved complete removal in 84.6% of cases. Chart 2 shows indications for surgery according to the literature. Chart 3 shows surgical approaches to brainstem CM.

Our patients had a preoperative mRS of 0 in 84.6% of cases, and a postoperative mRS > 4 in 15.3% of cases. In our case series, we had one death due to pulmonary sepsis, and one patient with a worsened mRS. Fritschi et al.<sup>(14)</sup> reported 83% of patients with the same or better mRS, and 1% of mortality. Samii et al.<sup>(8)</sup> had no deaths, and a morbidity rate of 27.7% in their series.

**Table 2.** Clinical manifestation of cavernomas

Authors	Cases (n)	Hemorrhage %	Focal deficit %	Headache %	Raised ICP %	Incidental findings %
Bertalanffy et al. <sup>(3)</sup>	72	20.8	54.1	20.8	4.1	4.1
Hsu et al. <sup>(5)*</sup>	664	13.4	25.4	6	0.45	20.6
Samii et al. <sup>(8)**</sup>	36	75	36.1	-	-	-
Hauck et al. <sup>(10)**</sup>	44	-	57	32	-	-
Aiba et al. <sup>(13)*</sup>	110	56	-	-	-	21
Amim-Hanjani et al. <sup>(16)*</sup>	94	70	44	16	-	-
Del Curling et al. <sup>(17)*</sup>	32	9	22	34	-	19
Ferrolì et al. <sup>(18)*</sup>	52	-	-	-	-	-
Porter et al. <sup>(19)**</sup>	173	25	20	6	-	12
Kim et al. <sup>(20)*</sup>	62	32.6	8.2	6.1	-	9.7
Kupersmith et al. <sup>(21)**</sup>	37	73	27	43	-	-
Moriarty et al. <sup>(22)*</sup>	68	13	46	65	-	1.5
Moran et al. <sup>(23)*</sup>	296	7	5	1	2	4
Present study	13	7.6	7.6	7.6	-	-

\* include supratentorial, \*\* brainstem

**Chart 2.** Indications for surgery in brainstem cavernomas

Authors	Patients (n)	Indication for surgery
Bertalanffy et al. <sup>(3)</sup>	72	Hemorrhage and localization were determinant in indicating emergency, urgent, or elective surgery
Porter et al. <sup>(4)</sup>	86	A cavernous malformation of the brainstem satisfied at least one of the following: (1) it abutted the pial surface or was exophytic, (2) it produced repeated hemorrhage, causing progressive neurological deficits, (3) acute hemorrhage extending outside the lesion capsule, (4) a mass effect was produced by a large intralesional hemorrhage
Zimmerman et al. <sup>(6)</sup>	16	Superficially located, symptomatic cavernomas of the brainstem
Fahlbusch et al. <sup>(7)</sup>	9	Symptomatic brainstem hemorrhage, sudden onset of neurological damage
Sindou et al. <sup>(15)</sup>	12	Patients were referred to surgery because of a sudden or rapidly progressive deterioration of the neurological status. All patients had one or more bleeds
Hauck et al. <sup>(10)</sup>	44	Symptomatic lesions and the natural history of the lesion
Fritschì et al. <sup>(14)</sup>	29	Lesions that become symptomatic either with a sudden onset or are attributable to a hemorrhage or a slow progressive neurological impairment should be considered seriously before surgery
Amin-Hanjani et al. <sup>(16)</sup>	14	Acute severe or progressive neurological deficit and recurrent hemorrhage unless deemed surgically inaccessible
Bricolo <sup>(25)</sup>	6	Patients with a history of progressive neurological deterioration
Ferrolì et al. <sup>(18)</sup>	52	Patient presenting neurological deficit and lesion in the surface of the fourth ventricle or the lateral aspect of the brainstem
Sakai et al. <sup>(26)</sup>	5	Symptomatic lesions located near the surface of the brainstem
Bouillot et al. <sup>(27)</sup>	17	All surgically treated patients were symptomatic with one or more bleeding episodes and various neurological damages
Steinberg et al. <sup>(28)</sup>	42	Multiple symptomatic clinical hemorrhage episodes or a single large hemorrhage episode associated with significant neurological deficits
Estudo atual	13	Symptomatic lesions and the natural history of the lesion

Zimmerman et al.<sup>(6)</sup> had one death on surgery, and the morbidity rate was 6.3%. Chart 4 shows the surgical outcome in the literature.

There is no consensus on the literature as to which is the best outcome predictor: Rankin, Glasgow outcome scale, Karnofsky, or Drake scale<sup>(18)</sup>.

Stereotactic radiosurgery has been used as an alternative treatment for brainstem CM, but its use is controversial. Amim-Hanjani et al.<sup>(16)</sup> reported a 16%

incidence of permanent radiological impairment and 3% of mortality associated to radiation. Porter et al.<sup>(19)</sup> reported 59% of temporary and 4% of permanent complications.

### Why do the pontine cavernomas have a poor prognosis?

Probably this is due to the proximity of the oculomotor nuclei. Motor impairment is related to circumferential

**Chart 3. Surgical approaches to brainstem cavernomas**

Authors	Patients (n)	Approaches
Bertalanffy et al. <sup>(3)</sup>	72	10 suboccipital median, 3 suboccipital lateral retrosigmoid, 2 suboccipital lateral transcondylar, 1 occipital medial interhemispheric, 3 occipital medial transtentorial, 2 temporal basal anterior, 1 combined pterional orbitozygomatic, 2 combined subtemporal retrosigmoid
Zimmermann et al. <sup>(6)</sup>	16	6 suboccipital midline (with C1 and C2 laminectomy in medullary lesions), 4 combined suboccipital subtemporal, 3 subtemporal, 3 supracerebellar, 1 far lateral suboccipital
Fahlbusch et al. <sup>(7)</sup>	9	6 suboccipital midline, 2 subtemporal, supacerebellar
Hauck et al. <sup>(10)</sup>	44	35 suboccipital, 7 pterional, 2 subtemporal
Lekovic et al. <sup>(11)</sup>	1	Supracerebellar infratentorial
Pozzati et al. <sup>(12)</sup>	6	1 transvermian, 1 suboccipital craniectomy, 4 not mentioned (other lesions)
Porter et al. <sup>(19)</sup>	86	26 suboccipital, 16 far lateral, 11 orbitozygomatic, 8 supracerebellar infratentorial, 7 retrosigmoid, 5 retrolabyrinthine, 4 subtemporal, 3 combined supra/infratentorial, 2 pterional, 2 translabyrinthine, 1 transcochlear, 1 occipital interhemispheric
Sakai et al. <sup>(26)</sup>	5	Transvermian with midline suboccipital craniectomy, lateral suboccipital craniectomy, occipital interhemispheric transtentorial
Bouillot et al. <sup>(27)</sup>	17	9 suboccipital medial, 4 subtemporal, 2 supracerebellar infratentorial, 1 occipital transtentorial, 1 retrosigmoid, 3 occipital medial transtentorial, 2 temporal basal anterior, 1 combined pterional orbitozygomatic, 2 combined subtemporal retrosigmoid
Steinberg et al. <sup>(28)</sup>	42	Suboccipital midline, far lateral suboccipital, trans-sylvian, infratentorial supracerebellar, subtemporal, (interhemispheric transcassal)
Cantore et al. <sup>(29)</sup>	11	4 suboccipital via 4 <sup>th</sup> ventricle floor, 4 supracerebellar infratentorial, 2 subtemporal transtentorial, 1 retrosigmoid transpetrous
Kikuta et al. <sup>(30)</sup>	10	7 midline suboccipital, 2 subtemporal, 1 occipital transtentorial
Present study	13	11 retrosigmoidal, 1 infratentorial supracerebellar, 1 subtemporal

**Chart 4. Surgical outcome in brainstem cavernomas**

Authors	Patients (n)	Outcome
Zimmermann et al. <sup>(6)</sup>	16	12 same or improved, 4 with new but transient deficits
Fahlbusch et al. <sup>(7)</sup>	9	8 improved, 1 additional morbidity
Samii et al. <sup>(9)</sup>	36	12 hemihypoesthesia, 5 internuclear ophthalmoplegia, 3 hemiparesis, 16 same
Hauck et al. <sup>(10)</sup>	44	6 cranial nerve dysfunction, 4 hydrocephalus, 3 worsening hemiparesis, 2 new deafness, 1 subacute meningitis
Lekovic et al. <sup>(11)</sup>	1	Hemiparesis
Pozzati et al. <sup>(12)</sup>	6	1 diplopia, facial hypesthesia and gait ataxia, 1 same, 4 not mentioned (another lesions)
Fritschi et al. <sup>(14)</sup>	93	39.8% complete recovery, 44.1% minimally disable, 15% moderately disable, 1.1% severely disable
Amin-Hanjani et al. <sup>(16)</sup>	14	64.2% Excellent or good, 35.8% fair to poor, 1 death
Porter et al. <sup>(19)</sup>	86	61 good recovery, 14 moderate disability, 5 severe disability, 1 persistent vegetative state, 3 deaths
Sakai et al. <sup>(26)</sup>	5	All improved
Bouillot et al. <sup>(27)</sup>	17	10 improved neurologically, 2 unchanged, 3 worsened, 2 deaths
Steinberg et al. <sup>(28)</sup>	42	43% improved, 52% unchanged, 5% worsened
Cantore et al. <sup>(29)</sup>	11	3 oculomotor deficits, 3 facial nerve deficits, 3 VP shunts, 1 death
Present study	13	9 same, 1 hydrocephaly, 1 pseudobulbar sd, 1 improved

VP: Ventriculoperitoneal.

perforated arteries that emerge from the posterior cerebral artery.

### What are the best surgical approaches?

The anterior portion of mesencephalus and the interpeduncular fossa tissue may be accessed via subtemporal and retrosigmoidal approach to the posterior portion. However, the posterior portion is better accessed via supracerebellar infratentorial

approach, in a semi-sitting position. We preferred the retrosigmoid approach because it is more used due to the best view to the safety entry zones.

Somatosensory evoked potential should be used in all patients in order to avoid nuclear and long tract damage<sup>(20)</sup>.

The timing of the brainstem cavernous surgery should consider the symptoms, and nuclear signs, as well as the presence of acute symptoms<sup>(21-30)</sup>. In our opinion, extension and volume of hematoma are

factors that should be considered before a surgery is indicated.

## CONCLUSION

Cavernomas can be resected safely with optimal surgical approach (feasible entry zone) and microsurgical techniques. Factors that affect the outcome during surgery are preoperative status, size, timing of operation and complete resection. The goal of surgical intervention should be the complete resection of the lesion without any neurological impairment.

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