

Lessons from the Implementation of the Healthcare Delivery Performance Index to Measure Quality of Primary Care in Costa Rica

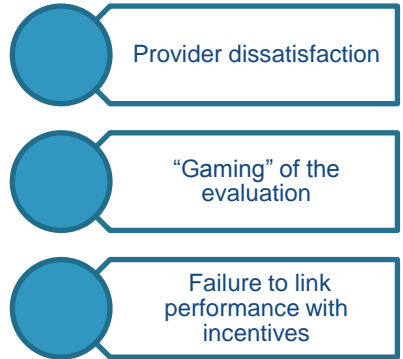
CONTEXT:

- 1994 - Costa Rica reformed primary health care system
- Primary care provided by Health Areas, which each supervise 5-20 primary care clinics
- The Social Security Administration (CCSS) runs all public hospitals and clinics and covers 95% of the population
- Over the past 25 years, the CCSS developed novel ways to measure primary



THE PROBLEM:

- 1997- 2007 – the CCSS used Management Contracts to measure quality and assign financial incentives
- In 2009, an internal review revealed dissatisfaction and signaled the need for changes in evaluation
- Evaluation was measured health services and not population health



DEVELOPMENT OF A SOLUTION:



- Financial incentives eliminated – how to maintain interest in evaluation?
- Literature from the NHS, the WHO, and OECD was reviewed
- New way to rank Health Areas: the Healthcare Delivery Performance Index
- Bottom 20% of the areas must make remediation plan

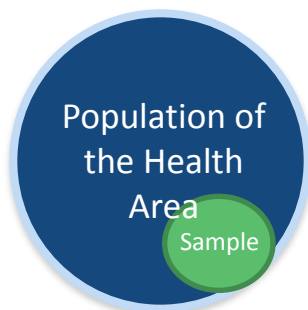
THE INDEX:

- **Goal to create an index that measures these five dimensions and is comparable between different primary care Health Areas**
- **Goal for this index to be a tool in health care management**
- **Defined 15 indicators based on the CCSS treatment guidelines**

Chronic Care indicators	Maternal Health Indicators	Child Health Indicators	General Indicators
% of type 2 diabetics with LDL control	% of pregnant women seen before 20 weeks	% of children under 1 year who received basic vaccinations	% of elderly who received complete vaccinations
% of hypertensives with blood pressure control	% of pregnant women with an HIV test before 20 weeks	% of children aged 1-2 years with complete vaccinations	% of women aged 35 to 65 with pap smear in last 2 years
% of type 2 diabetics with blood pressure control	% of pregnant women who had a syphilis test before 20 weeks	% of children from 6 months to 2 years who received a hemoglobin	% of newborns seen before 8 days of life
% of type 2 diabetics with HbA1c control	% of women seen in early post natal period	% of anemic children from 6 months to 2 years fully treated	

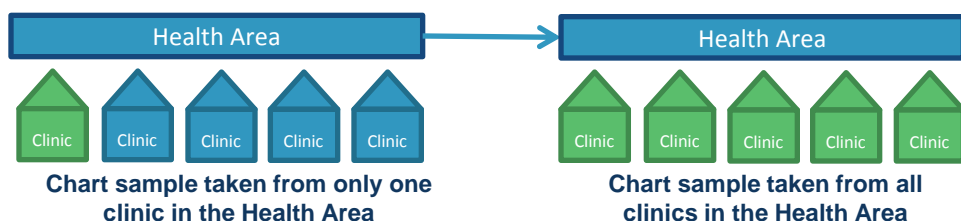
EVALUATION STRATEGY:

- **For each indicator, the Health Area submits a list of corresponding patients**
- **Based on that list, a sample of 20-40 patient charts is randomly selected**
- **Investigators review each chart in the sample and determine if quality standard for the indicator was achieved**



SAMPLING STRATEGY:

- **At first, charts in the sample were only taken from one or two clinics in the Health Area**
- **Changed to include all clinics, as health area directors felt the evaluation was not representative of whole Health Area**



STATISTICAL EVALUATION:

- **Must combine score from each indicator to make overall score for the index, but over time, the statistical model used has changed**

Simple Weighted Average

$$(1X + 2Y + 3Z) / W = \text{Index Score}$$

- **Difficulties with this design:**

- **Performance on one indicator could compensate for others**
- **Assignment of weights was subjective**

X = % of charts reviewed that achieved quality standard on indicator #1
Y = % of charts reviewed that achieved quality standard on indicator #2
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W = sum of the weightings

Factor Analysis Model

$$\text{Year 1: } 4X + 1Y + 1Z = \text{Index Score}$$

$$\text{Year 2: } 1X + 3Y + 1Z = \text{Index Score}$$

$$\text{Year 3: } 1X + 2Y + 4Z = \text{Index Score}$$

- **Difficulties with this design:**

- **Weighting changed each year, difficult to compare**
- **Statistical model behind the analysis opaque**

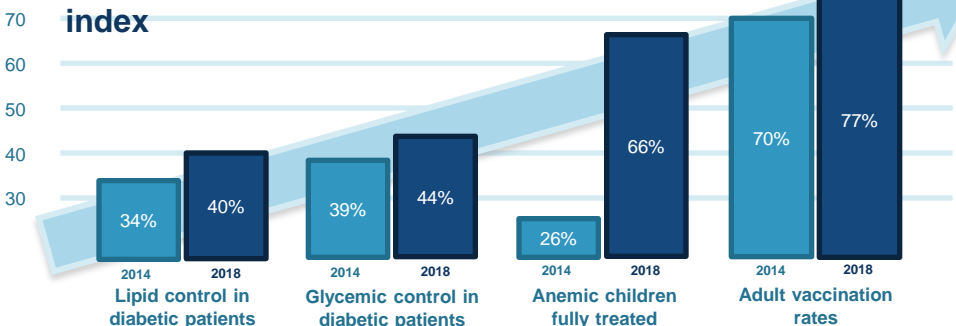
Simple Average + Goal Achievement

$$(X+Y+Z) * (\% \text{ indicators at goal}) = \text{Index Score}$$

- **Implemented this year for the 2018 evaluation**
- **Potential benefits to this design:**
 - **Incentive to achieve goals for all indicators**
 - **Transparent analytical model more easily understood**

RESULTS:

- **Since the index was created, improvements have been shown across adults and children on nearly every indicator in the index**

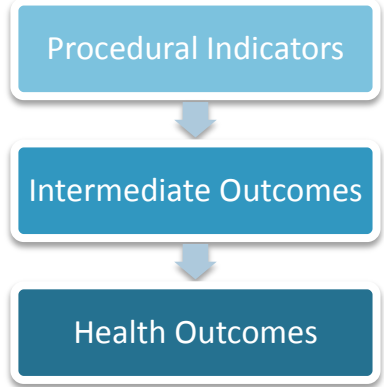


TECHNICAL LESSONS LEARNED:

- Experience with the index has yielded valuable insights into the construction of quality indices

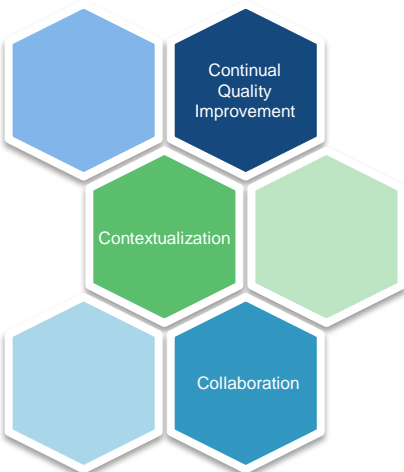
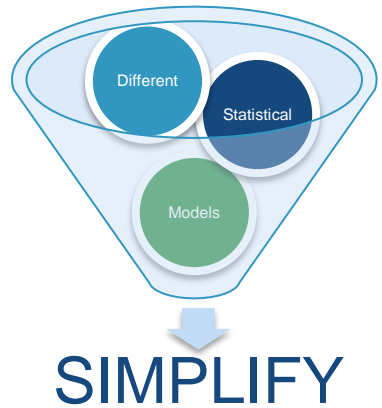
Selection of Indicators

- Outcome > procedural
 - Must link performance on the index to high quality care
- Adaptation with trends in international quality measurement
- Should select indicators that match and promote your values



Complexity of the Index Calculations

- Pursuing high-end statistical models that are very complex may limit their utility
- Must balance desire to thwart “gaming” with ease of use
- For index to drive change, Health Area managers should:
 - Understand the evaluation
 - Believe in the index



Interpretation of the Index

- Define the index’s role early
 - Evaluation & assurance
 - Tool for continual quality improvement
- Contextualize performance within the social context and available resources of the Health Area
- Should be collaborative and used to build an alliance with Health Areas, not punitive

OVERALL LESSONS LEARNED:

- Beyond the technical details, Costa Rica learned valuable lessons about quality improvement overall

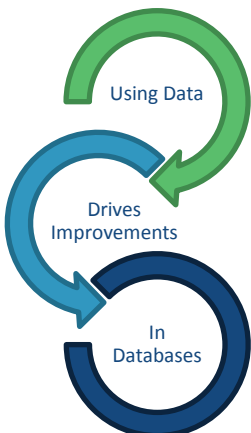


Flexibility

- Frameworks can be adapted – continual tweaks and improvements are essential
- If the system doesn't work, search for a better way to evaluate
- No model is perfect, but having a framework for evaluating quality is important

Interpersonal incentives are effective

- Financial incentives are not always necessary to make quality improvements
- Interpersonal incentives can be motivating
 - Substantial improvements can be made by demonstrating clinics' performance against one another
- The index helped to maintain the interest of Health Area directors, after financial incentives were removed

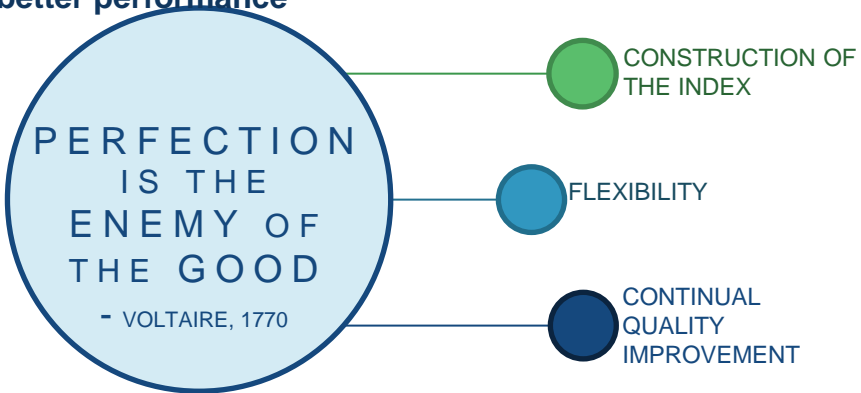


Improvements in data systems

- The use of the data system will improve the quality of the data itself
- Can't wait until the data is perfect – as scientists we always want sources of data to be perfect before we begin
- When the data system is used, more effort is put into the maintenance of those systems

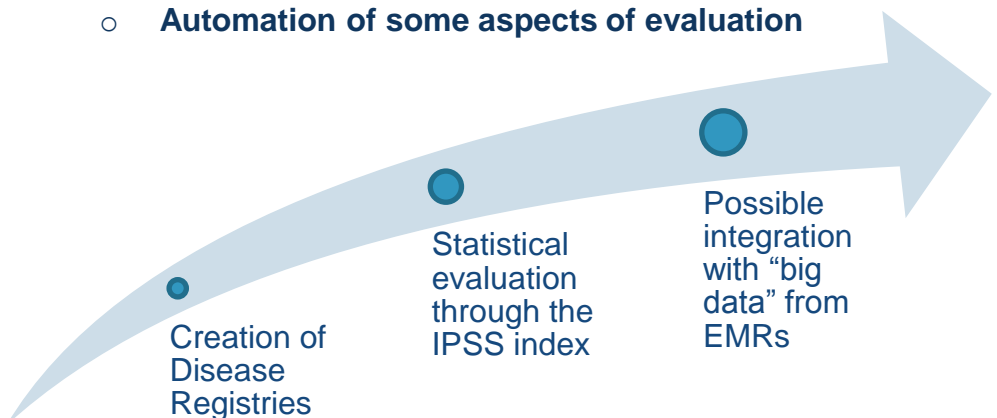
CONCLUSIONS:

- The experience of the performance index solidified the importance of continual quality improvement in primary care
- The index has promoted a change in the culture of the CCSS
 - Changed from an evaluation of services rendered to a tool to improve performance and promote population health
 - Cultivating a culture of continual improvement is important, difficult, and possible
- Existence of a quality index, even if imperfect, pushes toward better performance



FUTURE DIRECTIONS:

- As international norms of quality improvement continue to evolve, Costa Rica's quality evaluation must evolve alongside it
- Recent introduction of a new universal digital health record provides opportunities:
 - Integration with "big data"
 - Evaluation of whole population instead of samples
 - Automation of some aspects of evaluation





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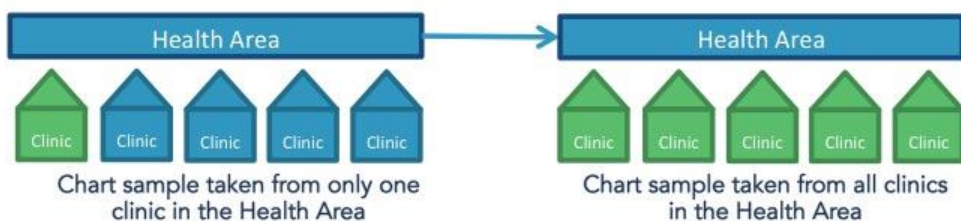
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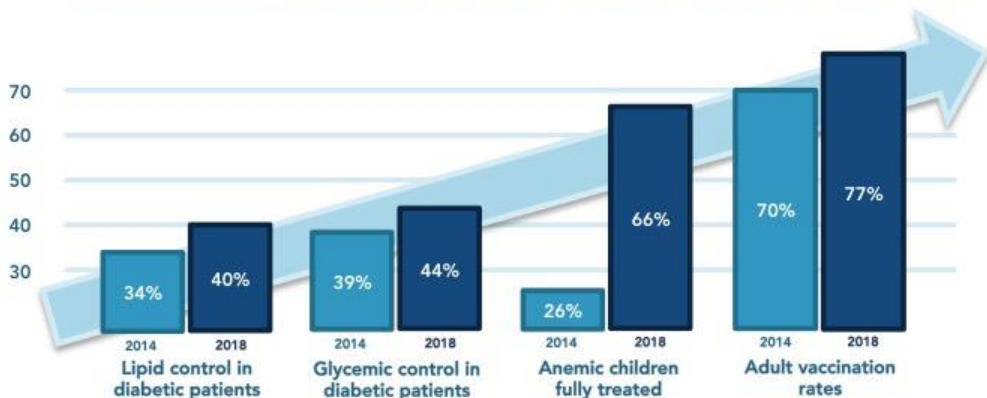
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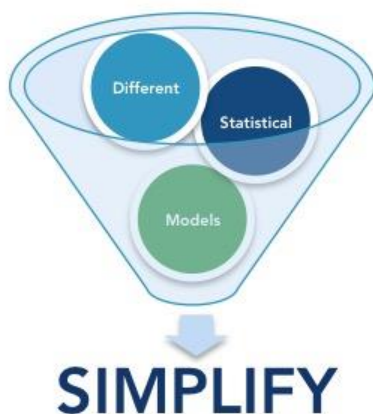
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Complexity of the Index Calculations

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Interpretation of the Index

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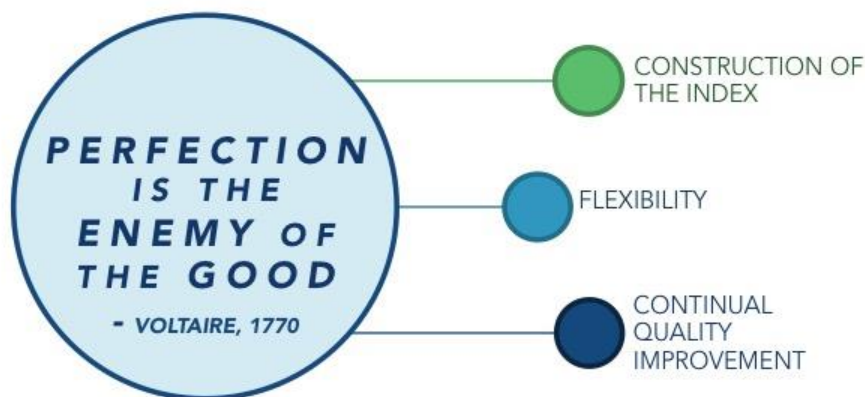


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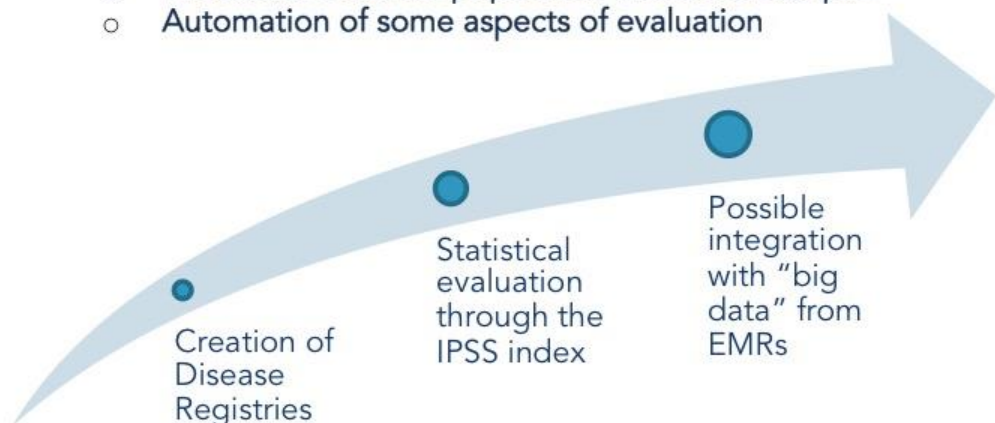
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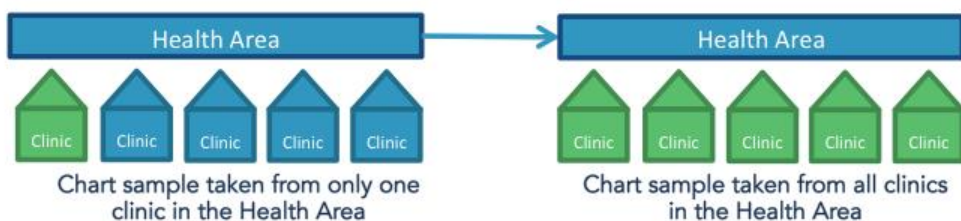
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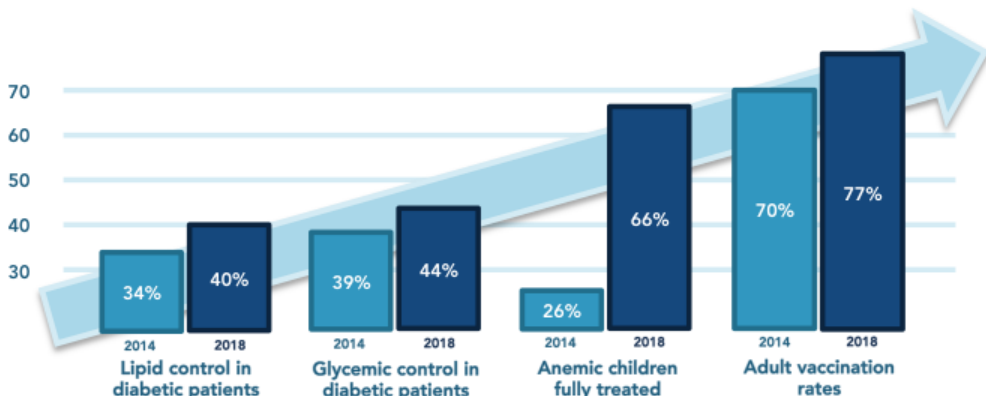
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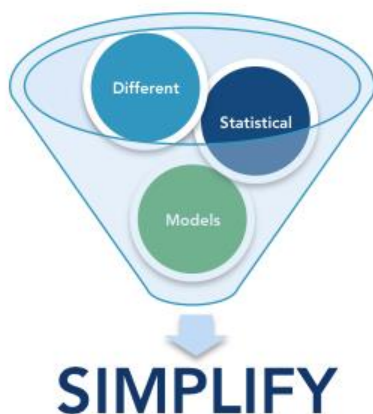
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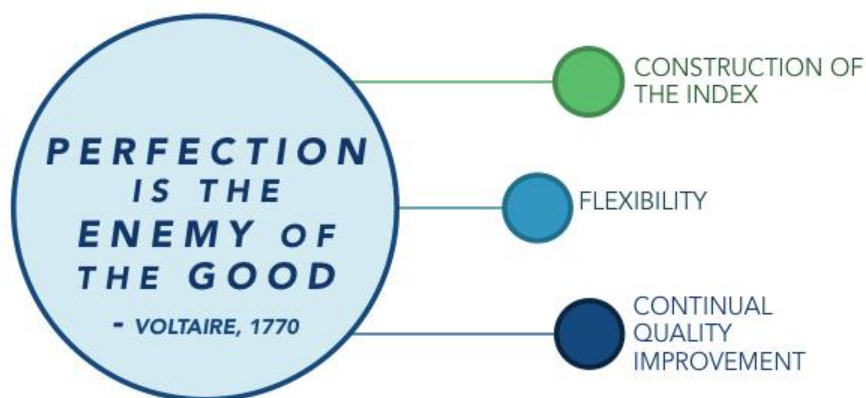


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